

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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Viswanath Ananth Ph.D.

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/904,962	07/12/2001	Viswanath Ananth	5019P001X

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

CONFIRMATION NO. 7370

FORMALITIES LETTER



OC000000006451493

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

ENTERED

AUG 22 2001

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

AUG 27 2001

Due date 10/21/2001 Client Name Viswanath Ananth, Ph.D.
Docket Initial 5019.P001x
Dock. Sup. Initial WWS
Atty/Initial 53 x
Pat/Ser/Reg 904,962

Description
Response due missing parts of application.

Linda Montes

8/23/2001

09/26/2001 KZEMDIE 00000066 09904962 65.00 0P
01 FC:205



Docket No.: 5019P001X

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Viswanath Ananth

Application No.: 09/904,962

Filed: July 13, 2001

For: STATE-VARYING HYBRID
STREAM CIPHER

Examiner: Unassigned

Art Group: Unassigned

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231-9998

Sir:

In response to the Notice to File Missing Parts of Application mailed August 21, 2001,
please find enclosed:

1) a duly executed Declaration and Power of Attorney with respect to the above-
referenced patent application;


2) a check in the amount of \$65.00 in payment of the surcharge of 37 C.F.R.
§1.16(e).

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra
copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: September 19, 2001

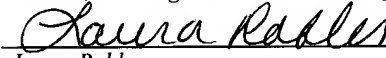


WILLIAM W. SCHAAL
Reg. No. 39,018

12400 Wilshire Boulevard, Seventh Floor
Los Angeles, California 90025
(714) 557-3800

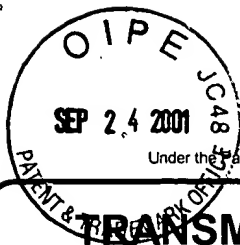
CERTIFICATE OF MAILING

*I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in
an envelope addressed to: Assistant Commissioner for
Patents, Washington, D.C. 20231 on: September 19, 2001.*



Laura Robles

9/19/01
Date



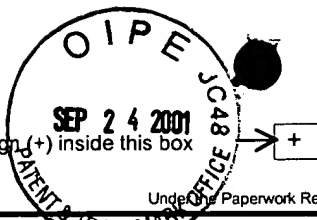
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application No.	09/904,962	
	Filing Date	July 13, 2001	
	First Named Inventor	Viswanath Ananth	
	Group Art Unit		
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	8	Attorney Docket Number	5019P001X

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<div>Declaration and POA; cc of Notice to file Missing Parts of Nonprovisional Application</div>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	September 19, 2001

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:			
September 19, 2001			
Typed or printed name	Laura R. Robles		
Signature		Date	September 19, 2001

Please type a plus sign (+) inside this box



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Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Complete if Known

Application No.	09/904,962
Filing Date	July 13, 2001
First Named Inventor	Viswanath Ananth
Examiner Name	Unassigned
Group/Art Unit	
Attorney Docket Number	5019P001X

TOTAL AMOUNT OF PAYMENT (\$) 65.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-2666
Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☒ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
101	201	Utility filing fee	
106	206	Design filing fee	
107	207	Plant filing fee	
108	208	Reissue filing fee	
114	214	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims			
Multiple Dependent			

**or number previously paid, if greater, For Reissues, see below

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	
103	203	9 Claims in excess of 20
102	202	40 Independent claims in excess of 3
104	204	135 Multiple Dependent claim, if not paid
109	209	40 **Reissue independent claims over original patent
110	210	9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
105	205	Surcharge - late filing fee or oath	65.00
127	227	Surcharge - late provisional filing fee or cover sheet.	
139	139	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	215	Extension for response within first month	
116	216	Extension for response within second month	
117	217	Extension for response within third month	
118	218	Extension for response within fourth month	
128	228	Extension for response within fifth month	
119	219	Notice of Appeal	
120	220	Filing a brief in support of an appeal	
121	221	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	240	Petition to revive - unavoidable	
141	241	Petition to revive - unintentional	
142	242	Utility issue fee (or reissue)	
143	243	Design issue fee	
144	244	Plant issue fee	
122	122	Petitions to the Commissioner	
123	123	Petitions related to provisional applications	
126	126	Submission of Information Disclosure Stmt	
581	581	Recording each patent assignment per property (times number of properties)	
146	246	Filing a submission after final rejection (37 CFR 1.129(a))	
149	249	For each additional invention to be examined (37 CFR 1.129(b))	
179	279	Request for Continued Examination (RCE)	
169	900	Request for expedited examination of a design application	
Other fee (specify)			
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			65.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	William W. Schaaf	Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Signature		Date	09/19/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED